

On the elementary theory of the real exponential field

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(joint with A. Berarducci,
building on unpublished work
of A. Berarducci and M. Mamino)

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It is a “lower bound” for transcendence of $\exp$: below a certain threshold, all algebraic relations between numbers and their exponentials must be explained by $\mathbb{Q}$ -linear dependence and the fact that $\exp$ is a group homomorphism.

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Clearly this axiom scheme is recursive, so we also get a new proof of the Macintyre–Wilkie conditional decidability result (using that every complete, recursively axiomatized theory is decidable.)

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Let $(R, \exp)$ be an exponential field. If $\exp$ satisfies some growth axioms (essentially, if it grows faster than every polynomial) and $(R, \exp|_{(-1,1)})$ is elementarily equivalent to $\mathbb{R}_{\exp|_{(-1,1)}}$, then $(R, \exp)$ is elementarily equivalent to $\mathbb{R}_{\exp}$.

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Assume $SC_{\mathbb{R}}$. Then the prime model of the complete theory of $\mathbb{R}_{\exp|_{(-1,1)}}$ embeds into any definably complete restricted exponential field.

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Theorems 1 and 2 imply that under $SC_{\mathbb{R}}$ the theory of definably complete restricted exponential fields is complete. With Ressayre, we obtain the main theorem.

- Ressayre's growth axioms follow from definable completeness and the differential equation, so we do not need to worry about them. Under definable completeness, Ressayre's theorem becomes just that if $(R, \exp|_{(-1,1)})$ is elementarily equivalent to $\mathbb{R}_{\exp|_{(-1,1)}}$ then $(R, \exp)$ is elementarily equivalent to $\mathbb{R}_{\exp}$.

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- L.S. Krapp has proved independently the unrestricted version of Theorem 2.

Khovanskii points

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Definition

Let $\ell \leq n \in \mathbb{N}$.

- An (ℓ, n) - $\exp_1$ -polynomial over R is an expression $F_p(x_1, \dots, x_n)$ of the form $p(x_1, \dots, x_n, \exp_1(x_1), \dots, \exp_1(x_\ell))$, where $p \in R[x_1, \dots, x_n, y_1, \dots, y_\ell]$ is a polynomial over R .

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- An (ℓ, n) -Khovanskii point over R is a solution of a system of the form $F_{p_1}(x_1, \dots, x_n) = \dots = F_{p_n}(x_1, \dots, x_n) = 0$ and $\text{Jac}(F_{p_1}, \dots, F_{p_n})(x_1, \dots, x_n) \neq 0$

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We call the number ℓ of restricted variables the *complexity* of an $\exp_1$ -polynomial or of a Khovanskii point.

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Proposition (Servi)

Let $M \subseteq N$ be definably complete restricted exponential fields.

If $F_{p_1}, \dots, F_{p_k}$ are $\exp|_I$ -polynomials over M of complexity $\leq \ell$ and they have a common zero, then the zero set of $F_{p_1}, \dots, F_{p_k}$ contains a projection of a Khovanskii point over M of complexity $\leq \ell$.

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Applying the Proposition and some tricks, we reduce model completeness to: for all $M \subseteq N$ definably complete restricted exponential fields, every Khovanskii point in N over M has coordinates in M .

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Then a is in the intersection of a curve C defined by $F_{p_1}, \dots, F_{p_{n-1}}$ (so an object of lower complexity) and the hypersurface H defined by $x_n = \exp| (x_\ell)$.

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From model completeness we also obtain that in any definably complete restricted exponential field R , a point $x \in R$ is definable over a set C iff it is *exponentially algebraic* in the sense of Kirby (it is a coordinate of a Khovanskii point over the field generated by C).

Theorem 2

Assume $SC_{\mathbb{R}}$. Then the prime model of the theory of $\mathbb{R}_{\exp|(-1,1)}$ embeds into any definably complete restricted exponential field.

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We use a version of Newton's method for definably complete structures (due to Servi): if $x \in (\mathcal{O}/\mathfrak{m})^n$ solves a Khovanskii system over $\mathbb{Q}$, then any point in $\mathcal{O}^n$ with residue x is very close to being a non-singular solution of the same system. By Newton, a solution exists nearby.

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However, this tells us that a lift of a Khovanskii point exists, but what if there are two different ones? Then their difference is infinitesimal, and the field they generate cannot embed in $\mathbb{R}...$

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Note that if $SC_{\mathbb{R}}$ holds, then the prime model embeds into N and it is contained in every convex subring of N . So in this case the hypothesis of the theorem is always true.

Following comments from Wilkie, we observed that we can prove something similar to our main theorem using what Macintyre–Wilkie call *Weak Schanuel's Conjecture* (which is a statement equivalent to the decidability of the theory of $\mathbb{R}_{\exp}$).

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The idea is that even if Schanuel is false, but there is a computable way of listing the counterexamples, then a complete axiomatization of $\mathbb{R}_{\text{exp}}$ is given by definable completeness and this computable list of counterexamples to Schanuel.

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This would be a key step in showing that the theory of definably complete exponential fields is model complete.